

# CAE

## The Case for Critical-Thinking Skills and Performance Assessment

May 2013

Roger Benjamin  
Stephen Klein  
Jeffrey Steedle  
Doris Zahner  
Scott Elliot  
Julie Patterson



Copyright © 2015 Council for Aid to Education

# foreword

A testing program like the Collegiate Learning Assessment (CLA) is constantly evolving. CAE (Council for Aid to Education) learns from the hundreds of colleges and universities that test with either the CLA or, its high school counterpart, the College and Work Readiness Assessment (CWRA) about what works well, what can be improved, and what new ideas are to be considered for aligning assessment with teaching and learning. We also benefit from the criticisms, comments, and suggestions made through public communications. Working constructively with these criticisms, along with extensive in-house research, has improved the reliability and validity of the CLA. These improvements have led to new concepts for enhancing our existing protocol, such as through CLA Education, which focuses on the teaching and learning implications of the CLA performance assessments. Additionally, new ideas for administering the performance assessments in way that will provide formative and usable results at the student level are being formulated, piloted and—in the near future—implemented.

Recently, we at CAE pulled together the full range of perspectives on assessing critical-thinking skills. These perspectives include studies on: the reliability and validity of the CLA performance assessments (conducted both by both third parties and CAE measurement scientists), the place of assessment in the knowledge economy, and on the major goals for student-learning assessment today. It's been a valuable exercise and I believe it will be useful to anyone interested in assessment. United States postsecondary education is entering a turbulent period. Faculty and administrators struggle to educate students in the face of rising costs, declining resources, the challenge of education technology, and the need to figure out how to re-allocate resources while improving undergraduate education at the same time. These are not small problems. We will note here some bold experiments that give the promise of solutions to the problems noted. Here, then, is a short monograph: *The Case for Critical-Thinking Skills and Performance Assessment in the United States and International Settings*. It notes third party studies of the CLA, while referencing our responses to them.

This monograph makes the case for assessing critical-thinking skills with performance assessments, a novel educational testing paradigm now becoming accepted throughout the K-16 education system in the United States. The first iteration of this monograph (February 2012) focused exclusively on the value added approach at the institution level. The principal question asked was how much value does the institution add to the student learning growth of its students over the four years of undergraduate education? However, we are pleased to announce the release of the CLA+, an enhanced version of the CLA which is reliable at the individual-student level. The CLA+ measures critical-thinking, problem solving, analytic reasoning, writing and the ability to critique arguments and make arguments. In addition, this version extends its scope to the measure quantitative reasoning. Finally, the CLA+ is aligned with core elements of English and math common core standards. This means the protocol may be used to bridge the K-12 and post secondary divide in a variety of ways.

The CLA+ will continue to serve the CLA's trademark goal of benchmarking the value added growth in student learning colleges and universities provide their students. However, the CLA+ may also be used in the college readiness space for,

- Diagnostic information about the deficits in critical-thinking skills of incoming freshmen that institutions may use to adjust curriculum and instruction to eliminate these deficiencies
- Diagnostic information the students who test may use to improve their critical-thinking skills and as additional information about their skill levels they can send to summer employers or to pursue other opportunities while in college

The CLA+ may also be used in the graduating senior space to,

- Evaluate the efficacy of competency-based undergraduate programs
- Provide certified results to students which they may send, at their choice, to potential employers. Certified testing possibilities may also be provided to entering and exiting community college students and at any other point in the undergraduate education
- Provide test scores that go on transcripts and/or can be used in a variety of ways to establish minimum proficiency levels of graduating students. CAE will provide its recommended standards to determine levels of proficiency and will also assist institutions or systems of institutions to establish their own standards of proficiency to aim for.
- Provide evidence relevant for requests for accountability

Roger Benjamin  
President, CAE

# INTRODUCTION

Educational institutions across the world are being challenged to improve instruction so that tomorrow's workforce will have the knowledge and skills necessary to meet the demands of modern careers, while contributing to the global economy. Indeed, a college education has never been more necessary for productive participation in society. Employers now seek individuals who are able to think critically and communicate effectively in order to meet the requirements of the new knowledge economy (Hart Research Associates, 2006; Levy & Murnane, 2004). Therefore, the skills taught in higher education are changing; less emphasis is placed on content-specific knowledge and more is placed on critical-thinking skills, such as: analytic and quantitative reasoning, problem solving, and written communication.

Any rigorous improvement project requires constant evaluation in order to measure progress toward goals. Consequently, there is a clear need for standardized assessments that measure critical-thinking skills, such as the Collegiate Learning Assessment (CLA). Performance assessments like the CLA evaluate not only whether students are learning the critical-thinking skills required of today's workforce, they also spur educational advances in pedagogy. The CLA presents students with scenarios that are representative of the types of problems they will encounter in the real world and asks them to generate solutions to these problems. Current tests measuring critical-thinking skills rely solely on multiple choice questions (the Pro-

iciency Profile by the Educational Testing Service and the Collegiate Assessment of Academic Proficiency by the ACT). Unlike multiple-choice questions where students need only to identify the correct answer—limiting the capacity of those questions to measure students' critical-thinking skills—an open-ended assessment such as the CLA is able to measure how well students formulate hypotheses, recognize fallacious reasoning, and identify implicit and possibly incorrect assumptions. Only open-ended tasks can authentically capture this type of critical-thinking, as well as the ability to organize and present ideas in a coherent argument.

Of course, knowledge and skills specific to academic disciplines are important, but there is a multitude of disciplines, each evolving over time. This makes it impractical to establish broad, comparative benchmarks based on achievement in academic disciplines. The development of students' critical-thinking skills is central to the missions of modern postsecondary institutions because of growing recognition that these skills fuel innovation and economic growth (Levy & Murnane, 2004). The first section of this paper provides a rationale for focusing on critical-thinking skills in society and describes how these skills are operationalized in the development of performance tasks for the CLA. The next section describes the CLA, summarizes a decade's worth of validity research pertaining to the use of the CLA in postsecondary institutional assessment programs, and addresses common concerns and critiques related to the CLA. The final section presents a summary of the case for measuring critical-thinking skills.

---

## RATIONALE FOR FOCUSING ON CRITICAL THINKING SKILLS

Political and economic leaders everywhere understand that workforce skill level is what determines economic performance. This understanding is leading policy analysts to view education policy as being equally important as other critical policy fields, such as: healthcare, national security, international trade, and the environment. In other words, education policy is now viewed as one of the top societal or governmental priorities. The initial credit here goes to Gary Becker and his colleagues in the economics department at

the University of Chicago, who developed the human capital school of labor economics. They leveraged the methodological rigor of contemporary economics to formulate the principles of human capital over forty years ago (Becker, 1964; Heckman & Krueger, 2003). Their achievements have been accepted at the highest levels of the academy, including recognition of several members of the human capital school by the Nobel Committee.

These scholars defined human capital as the stock of knowledge and skills present in a nation's population. Such capital accrues through education, training, and experience. As the field matured, economists

began to mine its implications for education, which is the formal venue for human capital development. Analysis of the returns on the amount of education achieved has become an important academic pursuit in economics, public policy, and education. This body of research suggests that education must focus on the stock of knowledge and skills required by a society which today most highly values the ability to access and structure information and apply it to solve new problems.

Recent theories of learning that reflect the change in emphasis from specific content domains to a focus on critical-thinking skills are redefining the concept of knowledge. Herbert Simon (1996) argues that the meaning of “knowing” has changed from being able to recall information to being able to find and use information. Branford, Brown, and Cocking (2000) note that the “...sheer magnitude of human knowledge ren-

ders its coverage by education an impossibility; rather, the goal is conceived as helping students develop the intellectual tools and learning strategies needed to acquire the knowledge to think productively.”

The logical extension for some is to say that education should be more explicitly vocational, but this is not the point. As the world economy has evolved from the industrial era to the knowledge economy, it has become increasingly dependent on a workforce that can generate knowledge that can be a foundation for economic prosperity. Knowledge generation requires strong skills in analytic and quantitative reasoning, problem solving, and writing—referred to as core critical-thinking skills. Thus, education must prepare students for productive participation in the economy and society, and increasingly this means teaching critical thinking skills and measuring progress toward desired achievement levels.

---

## MEASURING CRITICAL-THINKING SKILLS

Increasing recognition of the essential role of critical-thinking skills in the knowledge economy portends significant changes in teaching and learning. This is reflected in the educational reform movement now underway and assisted by education technology. Although this reform is present in elementary and secondary education, most advances have occurred in postsecondary education in United States. The reform movement can be characterized along three dimensions:

- Shifting from the long-standing lecture format to a student-centered approach emphasizing students’ active class participation and development of analytic writing skills.
- Changing the balance of curricular and textbook focus from its current emphasis on content to case studies and problem-based materials requiring students to apply what they know to novel situations.
- Changing assessment instruments from multiple-choice tests that are best used for benchmarking the level of content absorbed by students to open-ended assessments that are aligned with numerous goals of the reform initiative.

Although significant advances have been made on the first two dimensions of this education reform movement, assessment has lagged behind. As schools and colleges focus increasingly on developing critical-thinking skills in their students, assessment tools need to evolve to measure how well students are learning—and institutions are teaching—such skills.

Multiple-choice and short-answer tests remain the dominant testing regime, not only for facts, but also for critical-thinking skills. In the United States, they are used overwhelmingly by the Educational Testing Service (ETS), ACT, and the College Board. As a result, in postsecondary education and elsewhere, the testing regime is not assessing the most critical skills required of students in the workplace and—just as importantly—is not supporting the other two dimensions of reform. We believe the promise of educational reform developing in today’s knowledge economy cannot be achieved without employing open-ended, performance-based assessments, not only in postsecondary education, but in primary and secondary education as well.

As an illustration of this point, consider two tests of critical thinking: one multiple-choice and the other a performance assessment. To measure students’ understanding of correlations and causality, the multiple-

choice test requires students to select an answer from a list of four or five provided options. With performance assessments, students are presented with a research report in which the author incorrectly concludes that there is a causal relationship between the two variables due to a strong correlation between them. The student must evaluate this information and determine how that information does or does not support possible solutions to a real-world problem. The cognitive processes involved in responding to these two assessments are fundamentally different. Recognizing the correct answer from a finite list of possibilities differs greatly from asking students to generate a critique and explain it clearly. In the latter approach, the student must not only recognize the fallacious reasoning but must also understand how the concepts are confused and explain why the argument fails. This level of fidelity to real-world experience is often viewed as a major advantage of performance assessments over multiple-choice tests. Additionally, performance assessments measure students' written communication skills and their ability to craft an argument and refute counter arguments with relevant and reliable information. Multiple-choice items that assess writing generally measure a student's ability to correctly identify proper use of vocabulary and grammar.

Another important advantage of performance assessments is that they are seen as tests worth teaching to. The practice of "teaching to the test" is generally frowned upon when referring to traditional multiple-choice and short-answer assessments, and there is ample evidence that this practice occurs, especially when educators are held accountable for their students' test performance. However, "teaching to the test" for performance assessments should be encouraged. That is, class time spent preparing students to apply knowledge and skills to complex, real-world problems is time well spent. If performance assessments are integrated into accountability systems, this has the potential to positively impact classroom practice by encouraging teachers to foster the development of competencies in critical thinking skills. This effect has yet to be established, so it would be worthwhile to investigate whether the introduction of performance assessment for accountability purposes has the desired effect on teaching and learning.

In addition to negative effects on pedagogy, a critical shortcoming of today's principal educational assessment regime is that it pays little attention to how much a school or college contributes to developing the competencies students will need after graduation. For instance, the outcomes that are typically looked at by higher-education accreditation teams, such as a college's retention and graduation rates and the percentage of its faculty in tenured positions, say nothing about how well the school fosters the development of its students' analytic reasoning, problem solving, and communication skills. This situation is unfortunate because the ways in which institutions are evaluated significantly affects institutional priorities. If institutions were held accountable for student achievement, they would likely direct greater institutional resources and effort toward improving teaching and learning.

Compounding the challenges of implementing performance assessments is the fact that the development and measurement of performance assessments are rarely taught in schools of education or within the social sciences. Consequently, textbooks on assessment devote very little attention to this topic. The main focus of educational assessment courses and textbooks is item construction and analysis for multiple-choice tests. When performance assessment is taught in these programs, the focus is often on the development of performance tasks for professional licensure or certification purposes. For example, airline pilots are assessed on how competently they handle simulations of a range of realistic problems they may face. Similarly, mocked-up cases that require diagnosis by those studying to become medical doctors, veterinarians, or lawyers also widely use performance assessments (Heinrichs et al., 2007; Klein, 1996). There is hardly any attention devoted toward performance assessment in primary, secondary, or postsecondary education. All these conditions point to the need to support advances in performance assessment, particularly in the field of education. If the human capital school demonstrates the importance of education, the implications of the knowledge economy and recent theories of learning place the focus on improving the critical-thinking skills of the next generation of students. These developments create an urgent need to generate and implement a testing paradigm that measures and simulates these skills.

In this second edition of this monograph (May 2013) we are pleased to report that performance assessment is about to become a major part of education assessment in K-12 education in the United States. The United States Department of Education chose two consortia, Smarter Balanced Assessment Consortia (SBAC) and Partnership for Assessment of Readiness for College and Careers (PARCC), to oversee the development of 21st century assessments for K-12 education. The resources committed for this project represent the largest research and development effort

to develop innovative assessment ever undertaken. In turn, these consortia chose testing organizations to develop the new tests through a rigorous Request for Proposals (RFP) competition. Many thousands of the new assessments being developed are performance Assessments. CAE is pleased to be contributing a large number of performance tasks and other innovative tests to both consortia. Performance Assessment is about to become a mainstay in education assessment at the Common Core State Standards are implemented in the next several years.

---

## WHAT THE CLA MEASURES

The CLA skills can best be understood by situating them in a cognitive framework. The framework views the range of potential outcomes as a continuum ranging from domain-specific knowledge to general ability, or *G* (Spearman, 1904). While the framework may be an oversimplification, it offers a basis for understanding the CLA and what it measures. At the top of the hierarchy are theories of intelligence, with Spearman (1904) at one extreme postulating a single undifferentiated general intelligence and Guilford (1967) and Gardner (2006) at the other end of the spectrum postulating multiple abilities and different independent intelligences.

The CLA is based on the belief, supported by research, that learning is highly situated and context-bound. However, through practice within a particular subject area, learned knowledge becomes sufficiently generalized to enable it to transfer to the realm of enhanced reasoning, problem solving, and decision-making skills that can be demonstrated across content domains. These broad abilities can be understood in relation to other major skills and abilities in the cognitive framework. The critical thinking skills measured by the CLA are broad abilities that are learned and applicable over an array of domains. The CLA does not measure the general reasoning abilities generally thought of as intelligence or *G*, nor is the CLA measuring the domain-specific skills limited to one or a few disciplines.

### Critical Thinking

While there are many desirable outcomes of college education, there is widespread agreement that critical thinking skills are among the most important. As Derek Bok (2005), former president of Harvard University, states, “with all the controversy over the college curriculum, it is impressive to find faculty members agreeing almost unanimously that teaching students to think critically is the principle aim of undergraduate education” (p. 109). Critical thinking skills are longstanding desired outcomes of education (Dewey, 1910; Educational Policies Commission, 1961), and in modern day, they are seen as essential for accessing and analyzing the information needed to address the complex, non-routine challenges facing workers in the 21st century (The New Commission on the Skills of the American Workforce, 2006; The Secretary’s Commission On Achieving Necessary Skills, 1991). In recognition of the central role that critical thinking plays in the information age, leaders in higher education, business, and government stress that such critical-thinking skills must be assessed at the college level (Business-Higher Education Forum, 2004; Silva, 2008; State Higher Education Executive Officers, 2005; U.S. Department of Education, 2006).

Despite variation in definitions of critical thinking, there is significant agreement on its core components. The American Philosophical Association’s (1990) definition, which reflects the consensus of 200 policy makers, employers, and professors, describes critical thinking as: “purposeful, self-regulatory judgment which results in interpretation, analysis, evaluation, and inference as well as explanation of

the evidential, conceptual and methodological considerations on which a judgment is based” (p. 2). Along these lines, Pascarella and Terenzini (2005) offer an operational definition of critical thinking largely based on the work of Erwin (2000):

Most attempts to define and measure critical thinking operationally focus on an individual’s capability to do some or all of the following: identify central issues and assumptions in an argument, recognize important relationships, make correct references from the data, deduce conclusions from information or data provided, interpret whether conclusions are warranted based on given data, evaluate evidence of authority, make self-corrections, and solve problems (p. 156).

Bok’s (2006) definition of critical thinking captures similar qualities:

The ability to think critically—ask pertinent questions, recognize and define problems, identify arguments on all sides of an issue, search for and use relevant data and arrive in the end at carefully reasoned judgments—is the indispensable means of making effective use of information and knowledge (p. 109).

The aspects of critical thinking measured by the CLA are well aligned with the definitions of critical thinking provided above. Note that critical thinking may be defined very broadly, so we include analytic reasoning and problem solving in this construct definition (and in other CLA documentation) in order to expand upon the critical thinking skills measured by the CLA and to denote the range of those skills. Students are judged on critical thinking skills such as analytic reasoning and problem solving during the scoring process, which captures qualities exhibited in student work such as evaluating the reliability and relevance of evidence, identifying logical flaws and holes in the arguments of others, analyzing and synthesizing data from a variety of sources, drawing valid conclusions and supporting them with evidence and examples, and addressing opposing viewpoints. Students obtain higher CLA scores by attending to specific items in a task (e.g., accurately interpreting a graph or identifying a statement as untenable, given other information the examinee receives) and by applying the skills described above generally (e.g., overall strength of support for arguments).

## Writing

In addition to critical thinking skills, colleges are expected to teach “top notch writing and speaking skills” (Immerwahr, 2000, p. 10). This derives from recognition that, in many professions, the ability to communicate ideas effectively and articulate problem-solving processes is an important and highly-valued skill. In response to CLA prompts, students generate text that describes an analysis of a problem, provides evidence and examples to support a position, explains weaknesses in the arguments of others, and proposes a course of action. CLA scoring rubrics capture how well students write in a style that is well-organized, persuasive, and free from grammatical errors.

---

## THE CLA APPROACH TO MEASUREMENT OF CRITICAL-THINKING SKILLS

Unlike most traditional learning assessments, that grow out of an empiricist philosophy and a psychometric/behavioral tradition, the CLA employs a criterion sampling/competency measurement approach

(Shavelson, 2008). Traditional learning assessments take everyday complex tasks, divide them into components, create measures for each individual component (most often using multiple-choice questions), collect scores on each measure, and sum those scores to describe examinee performance. The problem arises when one tries to generalize back to the broader domain presented by those everyday tasks; the deconstructed measures may bear little resemblance to



the complexity of the everyday tasks upon which they were based.

In contrast, the CLA is based on a combination of rationalist and socio-historical philosophies in the cognitive constructivist and situated-in-context traditions (Shavelson, 2008). The criterion sampling approach employed by the CLA assumes that the whole is greater than the sum of its parts and that complex tasks require an integration of abilities that cannot be measured when deconstructed into individual components. The criterion-sampling approach is based on a simple principle: if you want to know what a person knows and can do, sample tasks from the domain in which that person is to act, observe his or her performance, and infer competence and learning (Shavelson, 2008). In short, the CLA samples tasks from “real-world” domains; the samples are holistic, real-world

tasks drawn from life experiences. The samples require constructed responses (not selected) and elicit complex critical thinking, analytic reasoning, and problem solving skills.

This approach underlies the development of the CLA critical-thinking skills measure. Complex intellectual skills were identified; observable performances in the form of performance tasks were created and standardized, ensuring fidelity with real world criteria. The efficacy of this model is determined, in part, based on the interpretability of the inferences drawn from the individual’s behavior on a sample of tasks to what his or her behavior would be on the larger universe of tasks (Shavelson, 2011). Both qualitative and quantitative evidence can be brought to bear here. Reliability and evidence of face, concurrent, and predictive validity offer support for those inferences.

---

## THE CLA PROGRAM

The CLA represents a paradigm shift in testing and is a good example of how performance assessment can be used effectively. Unlike multiple-choice or short-answer tests, the CLA employs a performance task, which is a concrete exercise that requires students to apply a wide range of critical-thinking and communication skills to solve a complex problem. In these tasks, students are allotted 60 minutes to examine a set of documents related to a real-world problem and write responses to explain their analysis of the documents and propose a solution to the problem at hand. The documents, which contain a mix of dependable and questionable information, appear as newspaper articles, research abstracts, emails, web pages, tran-

scripts, graphics, maps, and other forms of written and visual media. CLA Performance Tasks are presented in a variety of contexts, including the arts, social sciences, natural sciences, business, education, political science, and other fields. However, no prior subject knowledge is required. Students use their analytical reasoning, problem solving, and writing skills to answer open-ended questions that are not framed to elicit “right” or “wrong” answers. Rather, students are asked to compose written responses requiring them to integrate information from the different provided documents and support their decisions with relevant facts and ideas. Recently, 25 selective responses were added which have the flavor of performance tasks and are aligned with the performance task to boost reliability for individual student results.

There are a number of distinctive and noteworthy characteristics of the CLA:

- **OPEN-ENDED PROBLEM SOLVING.** In contrast to the typical multiple-choice and short-response items, the CLA relies on open-ended, realistic problems that are engaging and viewed as authentic by both students and faculty (Hardison & Vilamovska, 2008). Additionally, the CLA Performance Tasks are constructed to meet the highest standards of reliability and validity (Klein, Benjamin, Shavelson, & Bolus, 2007; Klein, Kuh, Chun, Hamilton, & Shavelson, 2005; Klein et al., 2009; Klein, Shavelson, & Benjamin, 2007).
- **BENCHMARKING.** The great majority of standardized assessments do not document the level of proficiency of their entering students. Thus, it is impossible to gauge how much improvement an institution itself contributes to the growth in student learning. The CLA controls for the competencies that students bring to the college, and results are reported in terms of “value-added” (i.e., how

much value an institution adds to students over the period of time they are at the institution) and other indices. Research shows that CLA value-added scores are sufficiently reliable to make inferences about student learning relative to other institutions (Klein, Benjamin, et al., 2007; Klein, et al., 2005; Steedle, 2011 online first).

- **VALUE-ADDED.** An institution's CLA value-added score gives faculty and administrators a benchmark of where their institution stands relative to other institutions admitting students with similar entering academic ability. There is significant variation between similarly situated institutions along this value-added continuum. In other words, there are very large differences in CLA value-added scores among institutions that accept students with similar entering academic ability. This means there is a large canvas for studying best practices in the institutions that perform better than the equation predicts as opposed to those that perform worse. There is also ample opportunity for those institutions that perform less well than predicted to improve upon their contribution to their students' education.
- **REPORTING.** In reports to the institution, an institution's CLA value-added score is presented to provide an indicator of the growth in skills measured by the CLA relative to similarly selective institutions. In addition, absolute score levels are provided to show where an institution falls in the overall distribution before controlling for entering academic ability. The CLA results for each participating institution are sent only to that institution, but state politicians and other stakeholders occasionally require public reporting of some kind. Some institutions also share results publicly with prospective students as part of the Voluntary System of Accountability (McPherson & Shulenburg, 2006), a network of public, four-year colleges and universities who use a common web template for presenting information about institutional characteristics as well as student experiences and outcomes.
- **INTERNET DELIVERY.** An important feature of the CLA is its use of a secure Internet browser for administration and delivery of the assessment. The Internet has provided two important benefits for the CLA. First, the Internet-based delivery platform makes it possible to increase the complexity and richness of the performance assessments created. The performance assessments are comprised of a considerable number of pertinent documents which include tables, figures, and graphs. The Internet makes it possible to present and organize the information on the documents without overwhelming the students. Secondly, delivering the CLA over the Internet significantly reduces cost and frequency of errors related to test administration, scoring, and reporting. The CLA would not exist without the Internet.

---

## PSYCHOMETRIC PROPERTIES OF THE CLA

There are several studies that speak to the reliability of CLA scores and to the validity of CLA score interpretations. Some of the key studies are highlighted below. A more comprehensive list of studies is given in Appendix A and the list of references.

### Reliability

In institutional assessment programs, reliability is achieved when test results are consistent across different samples of students drawn from the same population. Here, the focus is on the reliability of aggregate institutional results rather than those of individual test takers. When the institution is the unit of analysis, the CLA's reliability is approximately 0.90 (Klein, Benjamin, et al., 2007). This indicates that the relative standings of institutions would be highly consistent if testing was repeated with differ-

ent samples of students. Moreover, an institution's CLA value-added score has a reliability of approximately 0.75 (Steedle, 2011 online first).

## Validity

Construct validity refers to the degree to which test scores can be interpreted as indicators of whatever skill (i.e., construct) the test purports to measure. While gathering validity evidence in any testing program is an ongoing activity, a substantial amount of validity research has already been done by both CAE researchers and independent third parties. Some of these studies are summarized below:

*Face validity.* An assessment is said to have face validity when it appears to measure what it claims to measure. In order for the CLA to have face validity, CLA tasks must emulate the critical thinking and writing challenges that students will face outside the classroom. These characteristics of the CLA were vetted by a sample of 41 college professors selected to be representative of faculty from a wide range of institutions (Hardison & Vilamovska, 2008). After an in-depth review of CLA Performance Tasks and reading a range of student responses, these professors completed a survey on their perceptions of the CLA Performance Tasks. As shown in Figure 1, results indicate that the professors considered the Performance Tasks to be good assessments of critical thinking, writing, problem solving, and decision making. For example, using a rating scale of 1 – 5, professors felt that the CLA measures what it intends to measure (Mean 4.14, SD 0.46); it measures important skills that college graduates should possess (Mean 4.70, SD 0.53); students need good critical-thinking skills to do well on the task (Mean 4.60, SD 0.46); and students who do well on the task would also perform well in a job requiring good written communication (Mean 4.20, SD 0.83) or decision-making (Mean 4.10, SD 0.70). Respondents also agreed, after viewing the tasks, that college seniors should perform better on this task than college freshman (Mean 4.70, SD 0.48).

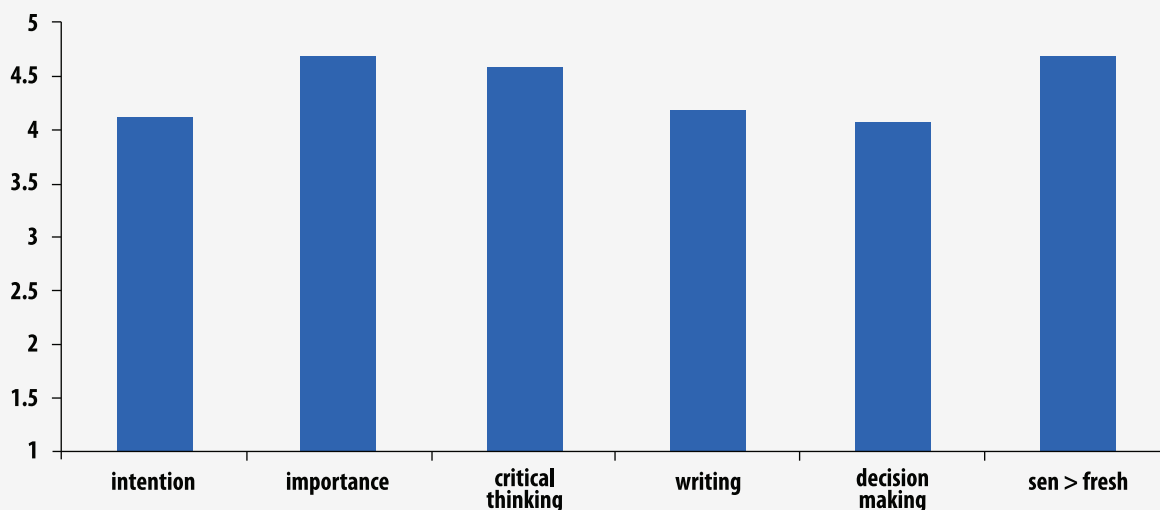


Figure 1: Average face validity evaluations of the CLA

*Concurrent validity.* Concurrent validity is commonly evaluated by examining the pattern of correlations between a test and other tests of similar and different skills (Campbell, 1959). For example, if the CLA measures critical thinking skills, then it should be highly (positively) correlated with other tasks that measure critical thinking. In the fall semester of 2008, CAE collaborated in a validity study with ACT and ETS to investigate the validity of the CLA, ACT's Collegiate Assessment of Academic Proficiency (CAAP) and ETS's Measure of Academic Proficiency and Progress (MAPP—currently known as the ETS Proficiency Profile) (Klein, Liu, et al., 2009). Results from the study show that for critical thinking, the CLA has a strong positive correlation with other tasks that measure critical thinking. The correlations at the institutional level between CLA scores and the critical thinking tests for MAPP and CAAP were .83 and .79, respectively. This evidence is consistent with the notion that the CLA mea-

asures critical thinking skills. Additional studies have also corroborated these results by showing that the CLA correlated highly with other measures of critical thinking (Carini, Kuh & Klein, 2006; Klein, et al., 2005).

In this context it is important to note that a moderate to high correlation between open-ended and multiple-choice test scores does not mean these measures assess the same construct. First, how one would prepare for a multiple-choice test is different than how one would prepare for an essay test. Secondly, a high correlation between the scores on a general-skills measure (such as the SAT or ACT) earned in high school and grades earned in a college-level organic chemistry course does not mean that high school seniors with high verbal and quantitative admission test scores know anything about organic chemistry.

*Predictive Validity.* The predictive validity of an assessment refers to how well a test score predicts some future criterion that is conceptually connected to the skills measured by the test. Traditionally, indicators of college readiness such as high school grade point average (HSGPA) and college entrance exam scores (SAT or ACT) are used to predict academic success in college as measured by college GPA. Results from a study using the CLA as a replacement for or supplement to college entrance exam scores showed that the most accurate prediction of students' senior-year GPA was achieved using the combination of SATs and the CLA scores (Zahner, Ramsaran, & Steedle, 2012). These results indicate that the CLA scores may capture knowledge and abilities that are different from content-based college entrance exams such as the SAT and ACT and underscore the apparent value of open-ended performance assessments as evidence of college readiness and therefore as predictors of college success. Recent findings from a large multi-college longitudinal study found that students who perform well on the CLA as college seniors tend to have better post-graduate outcomes such as securing employment and having less credit card debt (Arum, Cho, Kim, & Roksa, 2012).

---

## COMMON CLA CONCERNS AND CRITIQUES

Any new testing program that challenges the status quo and is used widely is bound to receive public and professional scrutiny, as well as generate criticism.

This is especially so if it has or may have consequences for students, faculty members, and their schools. The CLA is not an exception. This section addresses the most common concerns that have been raised about the CLA (Appendix A summarizes the critiques of the CLA and CAE's responses to each critique).

### ***Critique: Is there a rationale for measuring critical-thinking skills in the first place?***

Critical thinking skills, defined as critical thinking, analytic reasoning, quantitative reasoning, problem solving, and writing, fill an important gap not dealt with by academic majors. Students major in disciplines that faculty are organized within and support. It is perhaps natural that faculty view their disciplines as the core education products of undergraduate education. However, in recent decades interest in improving critical thinking skills has increased significantly. Definitions of knowledge and learning increasingly focus on the ability to apply what one knows to new situations. In today's global Knowledge Economy the ability to access, structure, and use information becomes more essential than only having command of specific discipline-based content. Thus, a central focus of undergraduate education is teaching and improving critical thinking skills both independently and within disciplines.

If the critical thinking-skills focus has merit (see pp. 3-4), examining them only within the context of disciplines of inquiry or through analytic constructs such as the humanities, natural

sciences, physical sciences, or social sciences commits what statisticians call the individualistic fallacy. This means the parts do not add up to define undergraduate education as a whole for students. This is because the development of these core cognitive skills is a joint product of all the courses and experiences students encounter over their four years of undergraduate study. Moreover, because there is a holistic quality about these cognitive skills, it is important to assess them with measurement instruments that are able to capture this holistic quality. Performance assessments are able to carry out this task. Multiple-choice tests, alone, do not.

***Critique: If multiple-choice test scores are correlated with performance assessment scores, they provide the same information about student abilities.***

A high correlation between two tests—for example, a multiple-choice critical thinking test and a CLA Performance Task—indicates that the relative standings of examinees on the two tests are similar. A high correlation does not necessarily mean that the two tests are providing the same information about student abilities. Indeed, it is common to find high correlations between obviously different constructs. For example, in the aforementioned validity study, the school average ETS Proficiency Profile Math and Writing test scores correlated .92. Put simply, a high correlation between two tests is consistent with the idea that they measure the same construct, but it does not prove that they measure the same construct (Steedle, Kugelmass, & Nemeth, 2010). Multiple-choice questions alone cannot adequately assess students' ability to use their analytic reasoning and problem solving skills to identify important strengths and weaknesses of arguments made by others, present a coherent, succinct, and well-organized discussion of the issues, and independently generate a solution to a real-world problem.

Moreover, we now know (Steedle, 2013) that performance assessments, aligned with the Common Core State Standards (CCSS), predict college freshmen GPA as well as the SAT or ACT. Performance assessment becomes highly desirable because such tests illustrate the desired coherence between instruction, assessment, and the complex challenges students face in the classroom and beyond. Performance assessment also has a comparative advantage over a) multiple choice tests that only predict college success and b) over tests not aligned with the CCSS. CAE's performance assessments are aligned with CCSS tests.

***Critique: Performance assessments are too costly to administer and score.***

Performance assessment is increasingly being implemented in large-scale testing programs because it is recognized as being more valid than multiple-choice testing alone. For instance, the new K-12 assessment programs being widely adopted in the United States are all focusing on the use of performance assessments. As a result, all of the US testing companies, including CAE, have made major commitments to building their capacity to develop and deliver performance assessments.

With this increase in demand, innovative approaches are being employed to address cost issues. Internet test delivery and reporting have been central to making performance assessment affordable. Computer-assisted scoring is also serving to reduce costs. Training human scorers, actual scoring, and maintaining scorer calibration account for a significant portion of the cost of performance assessments. Initially, human scorers need to be trained on how to score the student responses for performance tasks. However, once a sufficient number of responses have been collected, automated scoring in all languages is available. This lowers the cost of scoring Performance Tasks substantially, and the inter-rater consistency between two humans and between the computer and a human is comparable (Elliot, 2011).

In response to technological advances and the need to create a cost-effective new version of the CLA that is reliable and valid for individual student results, CAE has created the CLA+. The CLA+ is a ninety minute protocol anchored by a performance task and 25 selected response questions designed to be aligned with the performance task. This protocol is priced in the range of other multiple choice products now available.

***Critique: The Ceiling Effect***

A number of critics, including college presidents from prestigious colleges such as the Universities of Pennsylvania and Virginia argue their students come in as freshmen already at the top of CLA scores. Therefore, there is no point in their institutions using the CLA because their students would show little or no growth on the assessment.

Response: Jeff Steedle, CAE Measurement Scientist in Interview with Neal Conan, NPR, March 22, 2012 on the topic “How Should We Test Students’ College Educations?”

**Steedle:** I would want to address Dan’s (Dan Barrett, reporter, *The Chronicle of Higher Education*) comments about the possible—what we would call a—ceiling effect, where a Harvard or, we saw recently, a University of Texas might suggest that, well, the reason we don’t see large gains is that our students are already coming in toward the top. But indeed in our data, we just don’t find evidence consistent with the fact that there’s a ceiling effect. We see a normal distribution of the universities, even...among those selective universities, the average gains at those schools are very similar to the average gains at less selective universities. And if it was the case that there was a ceiling effect, we wouldn’t see that. We would see smaller average gains at those more selective schools than we do at the less selective schools.”

**Conan:** So what do you conclude from that?

**Steedle:** We conclude that it’s not fair to explain away your scores by claiming that there’s a ceiling effect when in fact there’s no statistical evidence to support that.

***Critique: Students are not motivated.***

Low motivation is a persistent threat to the validity of score interpretations, especially for low-stakes tests like the National Assessment of Educational Progress (NAEP), Trends in International Mathematics and Science Study (TIMSS), and the CLA. That is, if examinees are not motivated, their scores will not be accurate reflections of their maximum level of proficiency. To address this concern, studies have been carried out to evaluate the relationship between motivation and performance assessment scores, identify the reasons students are motivated (or not) on performance assessments, and measure differences in motivation on performance assessments observed in low- and high-stakes environments (Steedle, 2010a). Results from these studies show that aggregate student motivation is not a significant predictor of aggregate CLA performance. Therefore, the relative standings of institutions is not affected by student motivation. Moreover, that the types of incentives that students prefer (e.g., money, public recognition) are not related to motivation and performance (Steedle, 2010a). In addition, CLA+ will create stakes for individual students which will increase the level of effort students put in to taking the test.

***Critique: As a test of critical thinking skills, CLA results cannot be usefully applied to improve educational programs.***

The CLA is a standardized test (i.e., a test administered in the same conditions for all examinees), and it is often the belief that such assessments are not useful for improving classroom instruction.

However, there is increasing evidence that performance tasks like those included in the CLA can play an important role in classroom learning and assessment (Chun, 2010). This is important because in order for faculty to take assessment seriously they must view measures as authentic and useful to them in the classroom. Dr. Marc Chun (former Director of CLA Education) has given over 100 faculty academies, including eight in countries besides the United States. Prospects for the development of international performance tasks for AHELO, based on his work to date, appear promising. Appendix B provides some relevant examples of how the CLA has contributed to the improvement of teaching and learning in higher education.

***Critique: Critical-thinking skills are not independent of discipline-specific knowledge.***

Critics question whether critical thinking skills like analytic reasoning and problem-solving can be measured independently from discipline-specific contexts. Recent research on this found no significant interaction between CLA Performance Task content and students' fields of study (Steedle & Bradley, 2012). For example, students in the "hard" sciences do no better or worse on a performance task set in the context of a scientific inquiry than they do on a task set in a social science or business context. This finding suggests that critical thinking skills can be measured using complex, authentic assessments without great concern for the potential confounding effect of content knowledge on test performance.

Some question whether critical-thinking skills are independent from discipline-based skills, or, in any event, argue they cannot be measured independently from academic disciplines. The following logic is used in CLA's performance tasks.

Consider as an example a teacher who instructs students in her chemistry course on how to assess the characteristics of different substances, such as how each one responds to fire (the so called "flame test"). The instructor then gives each student a different "unknown" and asks them to determine its chemical composition. Students are evaluated on their ability to figure what the unknown substance is but also on the appropriateness of the tests they ran, the sequence of those tests, and their rationale for their decisions and conclusion.

This "unknown substance" test certainly requires substantive and procedural knowledge about chemistry (such as how to run a flame test) but it also assesses generic problem solving and reasoning skills. So a task that provides all students with the knowledge they need (in the "Document Library") can focus on assessing critical thinking. That is what the CLA does and why there is no empirical interaction between the substantive context/setting for a performance task prompt and an examinee's academic major. The performance task format, structure, and approach does a good job in isolating the skills and abilities we want to measure.

Two peer reviewed papers present corroborative evidence to support this point. In the first paper, S. Klein, et al., (2008), report on findings by R. Shavelson on the interaction between performance task content and academic major. Klein and colleagues noted how Shavelson (2010) investigated this issue using college seniors who took a CLA performance task during spring 2007. Each performance task was assigned to one of three content areas: science, social science, or the humanities. Students self-identified the area of their major as science and engineering, social science, humanities, or other. Ultimately, Shavelson constructed five student-level regression equations using combinations of measures of the students' entering competency to college, the SAT, and indicator variables for task area and academic major area to predict CLA scores. When SAT scores are included in the model, other variables have almost no effect on predictive accuracy. A more recent study using data from 12,632 graduating seniors from 236 4-year institutions in the United States

corroborates Shavelson's findings (Steedle & Bradley, 2012). In this study, there was no significant interactions between CLA performance tasks and academic disciplines. This does not mean that what one studies has no effect on performance on tests of critical-thinking skills. Overall, Steedle and Bradley (2012) and Arum and Roksa (2011) find that students who majored in disciplines in the arts and sciences, including the humanities, foreign languages, physical and natural sciences, mathematics, engineering, did better than academic majors in applied professional fields such as health, education and business. In other words, students majoring in the arts and sciences tend to do better on all of the performance tasks than do students in applied professional fields. However, science majors do not do better on performance tasks set in a science context than they do on performance tasks set in a business context. Why might arts and science or engineering students do better on performance tasks overall? One hypothesis is that there is more writing and analysis required of students in those fields.



# conclusion

Critical-thinking skills can be identified and measured. For nearly a decade, the CLA has been measuring critical-thinking skills that are important to all students regardless of their academic background (Klein, Benjamin, et al., 2007), making it an ideal measure of critical-thinking skills. There is considerable research support for the CLA but more research is needed on a variety of issues. As is the case with other testing organizations, CAE researchers carry out much of the research on reliability and validity issues. However, CAE-based research continues to be published in peer reviewed journals. Moreover, CAE's policy is to provide extensive data sets, when requested, to independent researchers to carry out their own studies (cf. Arum, et al., 2012; Arum & Roksa, 2011). Finally, CAE welcomes the independent studies and reports on the use of CLA results noted in Appendices A and B.

## References

- American Philosophical Association. (1990). *Critical thinking: A statement of expert consensus for purposes of educational assessment and instruction "the delphi report"*. Committee on Pre-College Philosophy. Millbrae, CA: The California Academic Press.
- Arum, R., Cho, E., Kim, J., & Roksa, J. (2012). *Documenting uncertain times: Post-graduate transitions of the academically adrift cohort*. Brooklyn, NY: Social Science Research Council.
- Arum, R., & Roksa, J. (2011). *Academically adrift: Limited learning on college campuses*. Chicago, IL: University of Chicago Press.
- Association of American Colleges and Universities, & Council for Higher Education Accreditation. (2008). *New leadership for student learning and accountability: A statement of principles, commitments to action*. Washington, DC: Association of American Colleges and Universities and the Council for Higher Education Accreditation.
- Astin, A., & Lee, J. (2003). How risky are one-shot cross-sectional assessments of undergraduate students? *Research in Higher Education*, 44, 657-672.
- Banta, T. W. (2007, January 26). A warning on measuring learning outcomes. *Inside Higher Ed*.
- Banta, T. W. (2008). Editor's notes: Trying to clothe the emperor. *Assessment Update*, 20(2), 3-4, 15-16.
- Banta, T. W., & Pike, G. R. (2007). Revisiting the blind alley of value added. *Assessment Update*, 19(1), 1-2, 14-15.
- Becker, G. (1964). *Human capital*. Chicago: University of Chicago Press.
- Benjamin, R. (2012). *The new limits of education policy*. London: Edward Elgar.
- Bok, D. (2005). *Our underachieving colleges: A candid look at how much students learn and why they should be learning more*. Princeton, NJ: Princeton University Press.
- Bok, D. (2006). *Our underachieving colleges: A candid look at how much students learn and why they should be learning more*. Princeton, NJ: Princeton University Press.
- Bransford, J., Brown, A., & Cocking, R. (Eds.). (2000). *How people learn*. Washington, DC: The National Academy Press.
- Business-Higher Education Forum. (2004). *Public accountability for student learning in higher education: Issues and options*. Washington, DC: American Council on Education.
- Campbell, D. T. (1959). Convergent and discriminant validation by the multitrait-multimethod matrix. *Psychological Bulletin*, 56(2), 81-105.
- Carini, R. M., Kuh, G. D., & Klein, S. P. (2006). Student engagement and student learning: Testing the linkages. *Research in Higher Education*, 47(1), 1-32.
- Chun, M. (2010). Taking teaching to (performance) task: Linking pedagogical and assessment practice. *Change*, 42(2), 22-29.
- Council for Aid to Education. (2011). *Module a progress report: Milestone 3*. Paris: Organisation for Economic Co-operation and Development (OECD).
- Council for Independent Colleges. (2008). *Evidence of learning: Applying the Collegiate Learning Assessment to Improve teaching and learning in the Liberal Arts Colleges Experience*. Washington D.C.: Council for Independent Colleges
- Council for Independent Colleges (2011). *Catalyst For Change: The CIC/CLA Consortium*. Washington D.C.: Council for Independent Colleges.
- Dewey, J. (1910). *How we think*. Boston, MA: D.C. Heath.
- Douglass, J. A., Thomson, G., & Zhao, C. M. (2012). *Searching for the Holy Grail of Learning Outcomes*, Berkeley, CA: Center for Studies in Higher Education, Research & Occasional Paper Series. CSHE3,12.
- Educational Policies Commission. (1961). *The central purpose of American education*. Washington, DC: National Education Association.
- Elliot, S. (2011). *Computer-assisted scoring for performance tasks for the CLA and CWRA*. New York: Council for Aid to Education.

- Ennis, R. H. (2008). Nationwide testing of critical thinking for higher education: Vigilance required. *Teaching Philosophy*, 31(1), 1-26.
- Erisman, W. (2009). *Measuring student learning as an indicator of institutional effectiveness: Practices, challenges, and possibilities*. Austin, TX: Higher Education Policy Institute.
- Erwin, T. D. (2000). *The npec sourcebook on assessment, volume 1: Definitions and assessment methods for critical thinking, problem solving, and writing*. Harrisonburg, VA: Center for Assessment and Research Studies, James Madison University.
- Erwin, T. D., & Sebrell, K. W. (2003). Assessment of critical thinking: Ets's tasks in critical thinking. *The Journal of General Education*, 52(1), 50-70.
- Garcia, P. (2007). *How to assess expected value added: The CLA method*. Paper presented at the California Association of Institutional Research Conference, Monterey, CA.
- Gardner, H. (2006). *Multiple intelligences: New horizons*. New York: Basic Books.
- Guilford, J. P. (1967). *The nature of human intelligence*. New York: McGraw Hill.
- Hacker, A. (2009, February 24). Can we make america smarter? *New York Review of Books*.
- Hardison, C. M., & Vilamovska, A.-M. (2008). *The Collegiate Learning Assessment: Setting standards for performance at a college or university* (No. PM-2487-1-CAE). Santa Monica, CA: RAND.
- Hart Research Associates. (2006). *How should colleges prepare students to succeed in today's global economy? - based on surveys among employers and recent college graduates*. Washington, DC: Hart Research Associates.
- Hart Research Associates. (2009). *Learning and assessment: Trends in undergraduate education - a survey among members of the association of American colleges and universities*. Washington, DC: Hart Research Associates.
- Heckman, J., & Krueger, A. B. (2003). *Inequality in america: What role for human capital policies?* Boston: MIT Press.
- Heinrichs, W. L., Lukoff, B., Youngblood, P., Dev, P., Shavelson, R., Hasson, H. M., et al. (2007). Criterion-based training with surgical simulators: Proficiency of experienced surgeons. *Journal of the Society of Laparoendoscopic Surgeons*, 11(3), 273-302.
- Hosch, B. J. (2010). *Time on test, student motivation, and performance on the Collegiate Learning Assessment: Implications for institutional accountability*. Paper presented at the Association for Institutional Research Annual Forum, Chicago, IL.
- Hutchings, P. (2010). *Opening doors to faculty involvement in assessment*: University of Illinois at Urbana-Champaign: National Institute for Learning Outcomes Assessment.
- Immerwahr, J. (2000). *Great expectations: How the public and parents--white, african American, and hispanic--view higher education*. San Jose, CA: The National Center for Public Policy and Higher Education.
- Klein, S. (1996). The costs and benefits of performance testing on the bar examination. *The Bar Examiner*, 65(3), 13-20.
- Klein, S. (2002). Direct assessment of cumulative student learning. *Peer Review*, 4(2/3), 26-28.
- Klein, S. (2008). Characteristics of hand and machine-assigned scores to college students' answers to open-ended tasks. In D. Nolan & T. Speed (Eds.), *Probability and statistics: Essays in honor of David a. Freedman* (Vol. 2, pp. 76-89). Beachwood, OH: Institute of Mathematical Statistics.
- Klein, S., Benjamin, R., Shavelson, R., & Bolus, R. (2007). The Collegiate Learning Assessment: Facts and fantasies. *Evaluation Review*, 31(5), 415-439.
- Klein, S., Freedman, D., Shavelson, R., & Bolus, R. (2008). Assessing school effectiveness. *Evaluation Review*, 32(6), 511-525.
- Klein, S., Kuh, G. D., Chun, M., Hamilton, L., & Shavelson, R. (2005). An approach to measuring cognitive outcomes across higher education institutions. *Research in Higher Education*, 46(3), 251-276.
- Klein, S., Liu, O. L., Sconing, J., Bolus, R., Bridgeman, B., Kugelmass, H., et al. (2009). Test validity study (tvs) report. Supported by the fund for the improvement of postsecondary education. from [http://www.voluntarysystem.org/docs/reports/TVSReport\\_Final.pdf](http://www.voluntarysystem.org/docs/reports/TVSReport_Final.pdf)

- Klein, S., Shavelson, R., & Benjamin, R. (2007, February 8, 2007). Setting the record straight. *Inside Higher Ed*.
- Klein, S., Steedle, J., & Kugelmass, H. (2009). *CLA Lumina longitudinal study summary findings*. New York: Council for Aid to Education.
- Kuh, G. D. (2006). *Director's message - engaged learning: Fostering success for all students*. Bloomington, IN: National Survey of Student Engagement.
- Levy, F., & Murnane, R. J. (2004). Education and the changing job market: An education centered on complex thinking and communicating is a graduate's passport to prosperity. *Educational Leadership*, 62(2), 80-83.
- Liu, O. L. (2008). *Measuring learning outcomes in higher education using the measure of academic proficiency and progress (mapp)*. (ETS RR-08-47). Princeton, NJ: ETS.
- Liu, O. L. (2011a). Measuring value-added in higher education: Conditions and caveats. Results from using the measure of academic proficiency and progress (mapp). *Assessment and Evaluation in Higher Education*, 36(1), 81-94.
- Liu, O. L. (2011b). Outcomes assessment in higher education: Challenges and future research in the context of voluntary system of accountability. *Educational Measurement: Issues and Practice*, 30(3), 2-9.
- Liu, O. L. (2011c). Value-added assessment in higher education: A comparison of two methods. *Higher Education*, 61(4), 445-461.
- Liu, O., Bridgeman, B., Adler, R. (2012). Measuring Learning Outcomes in Higher Education: Motivation Matters. *Educational Researcher*, Vol. 41, No 9, 352-362.
- McPherson, P., & Shulenburg, D. (2006). *Toward a voluntary system of accountability (vsa) for public universities and colleges*. Washington, DC: National Association of State Universities and Land-Grant Colleges.
- Pascarella, E. T., & Terenzini, P. T. (2005). *How college affects students: A third decade of research*. San Francisco, CA: Jossey-Bass.
- Possin, K. (2008). A field guide to critical-thinking assessment. *Teaching Philosophy*, 31(3), 201-228.
- Report of the cuny task force on system-wide assessment of undergraduate learning gains*. (2011).
- Shavelson, R. J. (2007a). Assessing student learning responsibly: From history to an audacious proposal. *Change*, 39(1), 26-33.
- Shavelson, R. J. (2007b). *A brief history of student learning assessment: How we got where we are and a proposal for where to go next*. Washington, DC: Association of American Colleges and Universities.
- Shavelson, R. J. (2008). *The Collegiate Learning Assessment*. Paper presented at the Forum for the Future of Higher Education, Cambridge, MA.
- Shavelson, R. J. (2010). *Measuring college learning responsibly: Accountability in a new era*. Stanford, CA: Stanford University Press.
- Shavelson, R. J. (2011). *An approach to testing and modeling competence*. Paper presented at the Modeling and Measurement Competencies in Higher Education.
- Shavelson, R. J., & Huang, L. (2003). Responding responsibly to the frenzy to assess learning in higher education. *Change*, 35(1), 10-19.
- Shavelson, R. J., Klein, S., & Benjamin, R. (2009, October 16). The limitations of portfolios. *Inside Higher Ed*.
- Silva, E. (2008). *Measuring skills for the 21st century*. Washington, DC: Education Sector.
- Simon, H. (1996). *The sciences of the artificial*. Boston, MA: MIT Press.
- Spearman, C. (1904). General intelligence objectively determined and measured. *American Journal of Psychology*, 15, 201-293.
- State Higher Education Executive Officers. (2005). *Accountability for better results: A national imperative for higher education*. Boulder, CO: State Higher Education Executive Officers.
- Steedle, J. T. (2010a). *Incentives, motivation, and performance on a low-stakes test of college learning*. Paper presented at the Annual Meeting of the American Educational Research Association, Denver, CO.
- Steedle, J. T. (2010b). On the foundations of standardized assessment of college outcomes and estimating value added. In K. Carey & M. Schneider (Eds.), *Accountability in American higher education*. New York, NY: Palgrave Macmillan.

- Steedle, J. T. (2011 online first). Selecting value-added models for postsecondary institutional assessment. *Assessment & Evaluation in Higher Education*, 1-16.
- Steedle, J. T., & Bradley, M. (2012). *Majors matter: Differential performance on a test of general college outcomes*. Paper presented at the Annual Meeting of the American Educational Research Association, Vancouver, Canada.
- Steedle, J. T., Kugelmass, H., & Nemeth, A. (2010). What do they measure? Comparing three learning outcomes assessments. *Change*, 42(4), 33-37.
- Steedle, J.T., (2013) Common Core Report
- The New Commission on the Skills of the American Workforce. (2006). *Tough choices or tough times*. Washington, DC: National Center on Education and the Economy.
- The Secretary's Commission On Achieving Necessary Skills. (1991). *What work requires of schools: A scans report for america 2000*. Washington, DC: U.S. Department of Labor.
- Thomson, G., & Douglas, J. A. (2009). *Decoding learning gains: Measuring outcomes and the pivotal role of the major and student backgrounds*. Berkeley, CA: Center for Studies in Higher Education.
- U.S. Department of Education. (2006). *A test of leadership: Charting the future of U.S. Higher education*. Washington, DC.
- Zahner, D., Ramsaran, L. M., & Steedle, J. T. (2012). *Comparing alternatives in the prediction of college success*. Paper presented at the Annual Meeting of the American Educational Research Association, Vancouver, Canada.

# Appendix A: CLA Critiques and Responses Summary

TOPIC	CRITIQUE	RESPONSE
General/ Background	<ul style="list-style-type: none"> <li>The CLA is a one-size-fits all measure designed for accountability only (Douglass, et al., (2012).</li> <li>The CLA crowds out more nuanced assessments such as portfolios, surveys (Douglass, et al., 2012).</li> <li>The CLA is designed to create a ranking system (Douglass, et al., 2012).</li> </ul>	<ul style="list-style-type: none"> <li>Seven Red Herrings on Assessment in Higher Education (Benjamin, 2012) The CLA program rejects one-size-fits all measures. The CLA program is opposed to ranking systems of colleges and universities. Appropriate standardized tests permit inter-institution comparison are necessary but not sufficient. Comparison is needed to frame within-institution formative assessments. Formative assessments are supported, indeed undertaken by the CLA Education program as well.</li> <li>CLA background and context (Klein, 2002; Shavelson, 2007a, 2007b, 2010; Steedle, 2010b)</li> <li>CLA constructs (Shavelson &amp; Huang, 2003)</li> </ul>
Value-Added	<ul style="list-style-type: none"> <li>CLA value-added scores are not reliable (Banta, 2008; Banta &amp; Pike, 2007).</li> <li>Value-added scores should account for more than just the SAT (e.g., age, race, sex).</li> <li>Different value-added models can produce very different results (Liu, 2011c).</li> <li>Value-added approach weakens correlations (Kuh, 2006).</li> </ul>	<ul style="list-style-type: none"> <li>Average CLA scores are highly reliable, especially when the unit of analysis is the institution (Freshman = .94; Seniors = .86) (Klein, Benjamin, et al., 2007; Klein, et al., 2005).</li> <li>Adding age, race, and sex to the model does not affect value-added results. Since the variables are correlated with each other, the estimates are less precise due to multicollinearity (Klein, et al., 2008).</li> <li>It is not true that different value-added models produce different results, as long as you are controlling for EAA (Steedle, 2011 online first).</li> </ul>
Reliability	<ul style="list-style-type: none"> <li>Tests measure the same thing if they are highly correlated (Belgian NPM and TAG).</li> </ul>	<ul style="list-style-type: none"> <li>CLA and multiple-choice tests like CAAP are highly correlated, but many tests of obviously different constructs are also highly correlated (e.g., science and reading). Just because the tests are correlated, that does not necessarily mean they are measuring the same thing (Klein, Liu, et al., 2009; Steedle, et al., 2010).</li> </ul>
Motivation	<ul style="list-style-type: none"> <li>Student motivation affects CLA scores (Banta, 2008; Liu, 2011c, Douglass, et al., 2012).</li> </ul>	<ul style="list-style-type: none"> <li>Aggregate student motivation is not a significant predictor of aggregate CLA performance. It does not invalidate the comparison of schools based upon CLA scores. The types of incentives that students prefer (e.g., money, public recognition) are not related to motivation and performance (Steedle, 2010a).</li> </ul>
Validity	<ul style="list-style-type: none"> <li>Tests like the CLA do not measure every important outcome of higher education. "... standardized measures currently address only a small part of what matters in college" (Association of American</li> </ul>	<ul style="list-style-type: none"> <li>Critical thinking may only be a small part of what students are expected to learn in college. However, it is still a very important skill. In fact, many colleges have a set of general learning outcomes for all students</li> </ul>

(Validity - continued)

- Colleges and Universities & Council for Higher Education Accreditation, 2008, p. 5).
- The CLA tests primarily entering ability (e.g., when the institution is the unit of analysis, the correlation between scores on these tests and entering ACT/SAT scores is quite high, ranging from .7 to .9), therefore differences in test scores reflect individual differences among students taking the test more accurately than they illustrate differences in the quality of education offered at different institutions (Banta, 2007).
- CLA tasks are not content neutral, thus they disadvantage students specializing in some disciplines (Banta, 2007, 2008; Banta & Pike, 2007) (Douglass, et al., 2012).
- Contain questions and problems that do not match the learning experiences of all students at any given institution (Banta, 2007; Douglass, et al., 2012).
- Measures at best 30% of the knowledge and skills that faculty want students to develop in the course of their general education experiences (Banta, 2007).
- CLA is not a valid assessment.
- The CLA is highly inter correlated with the SAT (Douglass, et al., 2012) and therefore not credible.

- regardless of their concentration, and critical thinking and writing frequently occur at the top of the list (Hart Research Associates, 2009).
- Although the CLA is correlated with entering academic ability, it does not test the same constructs as college entrance exams like the SAT and ACT (Klein, Shavelson, et al., 2007; Zahner, et al., 2012).
- There is no interaction between CLA task content and field of study (Klein, Shavelson, et al., 2007; Steedle & Bradley, 2012).
- Isn't it excellent that an assessment measures 30% of the knowledge and skills that faculty want? What assessment out there measures more than this? (Klein, Shavelson, et al., 2007).
- The CLA has face validity (Hardison & Vilamovska, 2008, pp. 107-109).
- The CLA is sensitive to differences between freshmen and seniors (Klein, Benjamin, et al., 2007).
- The most accurate prediction of college senior GPA was achieved using high school GPA plus CLA scores (predictive validity) (Zahner, et al., 2012).
- Evidence of CLA reliability, convergent validity, and differences between freshmen and seniors (Klein, Liu, et al., 2009).
- Correlations between CLA and the National Survey of Student Engagement (Carini, et al., 2006).
- Correlations among Performance Tasks and the GRE (convergent validity) (Klein, et al., 2005).

Test Administration

- Test administration procedures need to be standardized because they appear to influence student motivation and test performance (Hosch, 2010).

- This is a legitimate concern, but we do not have any research published on this issue.

Sampling

- Cannot be given to samples of volunteers if scores are to be generalized to all students and used in making important decisions such as the ranking of institutions on the basis of presumed quality (Banta, 2007).
- Longitudinal and cross-sectional data are not comparable (Garcia, 2007).
- Freshmen and seniors in a cross-sectional sample are not similar.
- No way to determine whether sample is representative (Douglass, et al., 2012).
- Small sample required only valid for small liberal arts colleges.

- CLA participants are like non-participants (in terms of SAT scores, ethnicity, and sex) (Klein, et al., 2008). The degree of representativeness is checked with that of the overall student body.
- Provides some arguments against longitudinal approach (e.g., expensive, large attrition, and students not progressing in their studies at the same rate within and across schools). May be providing biased results. We can never really know which approach is better or worse. The approaches have different pros and cons and neither is likely to produce an unbiased result (Klein, et al., 2008).
- Freshmen and seniors do not differ much from each other except for their CLA scores

<i>(Sampling - continued)</i>		<p>(Klein, et al., 2008).</p> <ul style="list-style-type: none"> <li>• Cross-sectional provides comparable results to longitudinal (Klein, Steedle, &amp; Kugelmass, 2009).</li> <li>• Small sample is adequate for large universities who, however, may test more students to drill down to departments and programs.</li> </ul>
Pedagogy	<ul style="list-style-type: none"> <li>• Faculty may narrow the curriculum to focus on test content (Banta, 2007) (Douglass, et al., 2012).</li> </ul>	<ul style="list-style-type: none"> <li>• How the CLA relates to what occurs in the classroom and if the CLA results can be used to improve pedagogy (Chun, 2010).</li> <li>• CLA focuses on broad competencies that are mentioned that cut across academic disciplines. Faculty cannot “teach to the test” (Klein, Shavelson, et al., 2007).</li> </ul>
Miscellaneous Articles	<ul style="list-style-type: none"> <li>• Study focused on ETS’s Tasks in Critical Thinking and its relation to General Education coursework (Erwin &amp; Sebrell, 2003).</li> <li>• Cross-sectional assessments are difficult to interpret because they inevitably reflect characteristics of the same students when they first entered college; variation is attributable to entering freshman characteristics not institutional policies or practices (Astin &amp; Lee, 2003).</li> <li>• Cannot make America smarter, so there is no need for measures such as the CLA (Hacker, 2009).</li> </ul>	<ul style="list-style-type: none"> <li>• Measuring learning outcomes in higher education (Liu, 2008, 2011a, 2011b).</li> <li>• Limitations of portfolios (Shavelson, Klein, &amp; Benjamin, 2009, October 16)</li> <li>• (Klein, 2002).</li> <li>• Machine-scoring of assessments (Klein, 2008).</li> <li>• Performance testing on the bar exam (Klein, 1996).</li> <li>• Recommends cooperation by critical-thinking faculty and administrators if there is less comparability and deeper transparency of tests (Ennis, 2008).</li> <li>• Non-technical guide to popular methods and tests for assessing how well students acquire critical thinking skills in school and college (Possin, 2008).</li> <li>• Comparison of the methodology and potential uses of three tools for measuring learning outcomes: the CLA, the National Survey of Student Engagement (NSSE), and the University of California’s Undergraduate Experience Survey (UCUES) (Thomson &amp; Douglas, 2009).</li> <li>• Examination of the strengths and limitations of some common approaches to measuring student learning outcomes (Erisman, 2009).</li> <li>• Recommendation of the CLA for formative assessment use (Hutchings, 2010).</li> <li>• Comparison of the CLA, CAAP, and Academic Profiles (Report of the cuny task force on system-wide assessment of undergraduate learning gains, 2011).</li> <li>• Use of the CLA as a dependent variable (Arum &amp; Roksa, 2011).</li> </ul>



# Appendix B: Examples of How the CLA Can Contribute to the Improvement of Teaching and Learning in Higher Education

Of course, the CLA is a testing program. Equally, however, it can be viewed as an instrument for reform of teaching and learning in higher education. It is important to give examples of what this means because the word “assessment” places the CLA in a box occupied by many other assessments, including multiple-choice tests. When examined for its contributions to teaching and learning, the CLA is in a league of its own. Here is a template that indicates how an institution might respond to the initial institutional level CLA scores followed by illustrations of how administrators and faculty are benefiting from using the CLA along with other measures related to student learning. These illustrations are offered as early examples of productive uses of the CLA.

## From the Institution to the Classroom: The CLA Comparison Strategy

1. The CLA's single global institutional score is based on the average performance of the sample of freshmen and senior students taking the CLA. An institution's score is presented in comparison to other similarly selective participating institutions. To account for variation in competencies the students bring to college, the CLA institution scores are adjusted for the SAT scores of the participating students. The CLA scores, then, reflect the amount of value-added improvement in performance between the freshman and the senior-year graduating students. When the scores of all institutions taking the CLA are placed in a regression equation, the institutions cluster along a straight line. More specifically, a college can be compared against the performance of other colleges with similar average SAT scores.

The first time the institution tests, CLA results provide faculty and administrators a benchmark, a signal about where their institution stands. There is up to a 2.0 standard deviation in estimated CLA gains between similarly situated institutions. In other words, there are very large differences in CLA scores between institutions that accept students with similar incoming cognitive ability. This means there is a large canvas for studying best practices in the institutions that perform better than the equation predicts as opposed to those that perform worse. The question then is what should the faculty and administrators of institutions do to improve the degree of their value added? That leads to the following subsequent steps.

2. Correlate inputs, processes, and outputs. A logical next step is for the college's institutional research office to correlate the inputs and processes (or their proxies such as class size, expenditures per pupil, incoming SAT scores of the freshmen, per student endowment expenditures, etc.) with outputs of undergraduate education such as retention and graduation rates and, of course, CLA outcomes and other measures of learning. The goal here is to develop an efficient description of the factors that correlate with CLA results.
3. Conduct in-depth analysis. While the institutional score signals the place of the college compared to other colleges administering the CLA, college administrators and faculty members will want to know more about the relative contributions to that score by colleges (if the institution is a university) or by certain departments or programs (if the institution is a college). Which departments or programs, for example, are particularly strong or weak contributors to their CLA results?
4. Conduct audit of existing assessments. There is a saying in the assessment world that a curriculum is determined by what faculty test for. Thus it will be useful to understand the extent to which faculty are using multiple-choice or essay tests in their classrooms. Are the tests given measuring

what is important such as critical thinking, problem solving or analytical reasoning? How well are the students doing on current tests?

5. Examine best practices found to produce good CLA results. Many colleges participating in the CLA are working together in consortia of similar institutions. They are highlighting and sharing best practices that are correlated with noteworthy CLA scores. For example, it appears that schools that require more analytic-based writing do better on the CLA than those that do not.
6. The most important step: get published CLA Performance Tasks into the hands of the faculty so that they can:
  - a. Use them in their classroom where they have greater knowledge of the strengths and weaknesses of their students;
  - b. Develop Performance Tasks that are based on the scoring guide of the published tasks;
  - c. Choose case studies and problems for text material that is congruent with the documents in the CLA Performance Tasks rather than the content dominated textbooks extant;
  - d. Adopt a student-centered approach to teaching that calls for much more analytic-based writing on the part of the students and diagnostic feedback to the student about how they can improve their performance.

In sum, the above steps comprise an early version of what we hope will become a reinforcing system of continuous improvement of teaching and learning. The institution's global score provides a critical signal that triggers an internal focus on what correlates with the score. It does not really matter where the institution is on the initial test administrations. The important questions become related to (a) understanding what led to those results and (b) deciding what improvement goals might make sense for the future.

Below are a few links illustrating how the CLA has contributed to the Improvement of Teaching and Learning in Education.

- [http://www.uky.edu/IRPE/assessment/Sizzle\\_June\\_2009.pdf](http://www.uky.edu/IRPE/assessment/Sizzle_June_2009.pdf)
- <http://21k12blog.net/2010/10/19/performance-task-assessment-and-teaching-learning-from-chun-and-clacwra/>
- <http://21k12blog.net/2010/02/24/excellent-cwra-info-session-at-nais-true-21st-c-assmt-naisac10/>
- <http://www.teaglefoundation.org/liblog/entry.aspx?id=252>
- <http://teachingatfsu.com/?p=40>

In addition see three reports summarizing work of faculty in a consortium of 47 private liberal arts colleges led by the Council for Independent colleges to share best practices that improve student learning growth; including:

- Evidence of Learning: Applying the Collegiate Learning Assessment to Improve Teaching and Learning in the Liberal Arts College Experience.
- Catalyst for Change: The CIC/CLA Consortium.
- An Analysis of Learning Outcomes of Underrepresented Students at Urban Institutions.

---

<sup>1</sup> This is precisely what higher education has in the research realm. Through peer review research has a public face that encourages and requires researchers to respond to criticism and evaluate the claims of other researchers: in short, engage in a never ending process of continuous improvement. If we followed the above steps for undergraduate assessment, we could hope to eventually also create a continuous system of improvement of teaching and learning.